TOWARDS SCALABLE ANOMALY DETECTION FOR EMBEDDED DEVICES THROUGH SYNTHETIC EM FINGERPRINTING

Kurt A. Vedros¹, Georgios Michail Makrakis¹, Constantinos Kolias¹, Robert C. Ivans², and Craig Rieger²

 1 Department of Computer Science, University of Idaho, 1776 Science Center Dr, Idaho Falls, ID 83402 USA

 $^2\,$ National and Homeland Security, Idaho National Lab, 1955 N Fremont Ave, Idaho Falls, ID 83402 USA

Abstract. Embedded devices are omnipresent in modern networks, including those facilitating missioncritical applications. However, due to their constrained nature, novel mechanisms are required to provide external, and non-intrusive defenses. Among such approaches, one that has gained traction is based on analyzing the emanated electromagnetic (EM) signals. Unfortunately, one of the most neglected challenges of this approach is the manual gathering and fingerprinting of the corresponding EM signals. Indeed, even simple programs are comprised of numerous branches, making the fingerprinting stage extremely timeconsuming, and requiring the manual labor of an expert. To address this issue, we propose a framework for generating synthetic EM signals directly from machine code. These subsequent signals can be used to train an anomaly detection system. The advantage of this approach is that it completely removes the need for an elaborate and error-prone fingerprinting stage, thus, increasing the scalability of the protection mechanisms. The experimental evaluations indicate that our method provides above 90% detection accuracy against code injection attacks. Moreover, the proposed methodology inflicts only -1.3% penalty in accuracy for detecting injections of as little as four malicious instructions when compared to the same methods of training on real signals.

Keywords: Side Channel Analysis; Anomaly Detection; Electromagnetic Signals; Synthetic Signals

1 Introduction

Nowadays, a large portion of corporate, government, military and critical infrastructures consists of *embedded devices*. Typically, these mission-critical assets are severely constrained in terms of processing, memory, and energy resources. Since standard cryptographic algorithms were designed according to the hardware specification of high-end systems, traditional crypto libraries and the corresponding protection tools are not applicable to such environments (at least not without modifications). At the same time, in many cases, embedded devices are directly exposed to the Internet and its cyber threats. Therefore, there is a dire need for the development of novel security mechanisms specifically designed to respect the limitations and peculiarities of such critical systems.

As a potential solution to this problem, researchers have relied on the analysis of patterns of analog signals emitted by the CPU of embedded devices. In this context, such signals are considered a *side-channel* because they get emitted involuntarily by devices during their regular operation. Even though these analog signals are often treated as noise in most applications, they may bear valuable information. In principle, certain characteristics of the emitted analog signals have a strong correlation to the instructions being executed by the CPU. Thus, numerous *side-channel-based anomaly detection* approaches have been proposed particularly to provide external protection for embedded devices [1], [2], [3], [4], [5].

Today, the dominant methods of side-channel-based anomaly detection rely on the analysis of *power-consumption patterns* [2], [5]. This is primarily due to the ease of data collection and the robustness of this modality against environmental noise. Nevertheless, when compared to power-based approaches, *electromagnetic (EM) based* methods are theoretically more advantageous because the EM spectrum offers higher bandwidth, and the EM signals can be sampled at higher rates [3], [6]. Moreover, depending on the type of antenna, the approach can be less invasive as the monitoring can be performed from a distance in real time. In fact, EM-based anomaly detection tools have proven to be successful for the detection of extensive [7], [8], or even minimal modifications, say, down to the injection of a few instructions (at the assembly level) [9], [10].

Nevertheless, the development of EM-based defenses and the deployment of corresponding real-life solutions remain stagnant due to the limitations of traditional workflows. More specifically, a well-known challenge of these approaches revolves around the requirement for exhaustive fingerprinting of all normal execution states of the targeted program. This issue is severely neglected by the research community although it may be one of the most important practical roadblocks that prevent the deployment of corresponding tools in real life environments. To address this issue, we introduce a novel framework for generating synthetic EM signals directly from machine code. Most importantly, the generated synthetic signals can be used instead of real ones for anomaly detection purposes as part of the model training/fingerprinting stages. In further detail, our approach relies upon first constructing a library of the EM signatures of minimum execution units (i.e., in this case, assembly instructions) that can be used to synthesize the EM footprint of longer sequences of code. The advantage of the proposed approach is that it completely removes the need for an elaborate and error-prone fingerprinting stage. The EM signals used for training do not need to be captured, but rather they are *inferred* directly from a model that accepts ASM code as input in an offline step. This fact alone makes the entire process *extremely* scalable.

In summary, the main contributions of this work are (a) the identification of the requirements and structure of a database of signal blocks that can be used for the generation of synthetic EM sequences, as well as (b) a methodology for properly synthesizing such sequences of instructions corresponding to entire execution paths/code-sequences, furthermore (c) the creation of a fingerprinting process that is more scalable than traditional methods, and thus can be applied to various device types and other side-channel classes, and finally (d) an anomaly detection method that can identify multiple programs and/or execution paths as benign.

The remainder of the paper is organized as follows: Section 2, includes related work. In Section 3, we depict the current problem with EM fingerprinting. In Section 4, we outline our framework for achieving anomaly detection for embedded devices through synthetic EM fingerprinting. In Section 5, we experimentally evaluate our framework, including the use of synthetic EM signals for training purposes and our proposed anomaly detection method. Additionally, Section 6, presents a discussion about the requirements towards creating a library of reusable basic blocks and the similarity between the synthetic and real EM signals. Finally, in Section 7, we provide some future directions and we conclude the paper.

2 Related Work

While critical mass of works exists in the field of anomaly detection based on EMs, limited work currently exists for synthesizing EMs specifically for embedded systems. Additionally, other works in the area of conversion generation have similar goals to ours of transforming ASM code-to-signals.

Anomaly Detection Based on EM: Works that relate to EM-based anomaly detection include [11], which tests the limits of EM-based approaches by demonstrating the ability to identify the control flow of a given program, and showcase how it can be used to identify anomalous behaviors. Our end goal is to use synthetic signals for similar purposes, and as such, provide a method that is faster at obtaining EM signals for fingerprinting purposes that is scalable.

An approach using anomaly detection of EM signals is given in [6], which presents a methodology for contactless security monitoring for programmable logic controllers (PLC), to ensure control flow integrity. The method proposed in this paper is based on a traditional framework that performs fingerprinting of benign cases by manually capturing EM signals, a tactic that is *error-prone* and *time-consuming*.

Furthermore, Boggs et al. [12] demonstrate the efficiency of EM-based anomaly detection systems using commercial off-the-shelf (COTS) hardware. They showcase the feasibility of such approaches being applied to a wide range of critical infrastructure devices.

The researchers behind the IDEA EM-based IDS [13] conducted their anomaly detection analysis fully in the time domain. The EM emanation from an uncompromised device is used to create a baseline *dictionary*. During the monitoring stage, the EM signal is split into windows and matched against *words* in that dictionary. The signal is then reconstructed using the matched words, and it is compared with the monitoring signal.

Additionally, Miller et al. [10] provide a novel approach to removing random phenomena produced by environmental noise. With the use of SVD the performance of EM-based anomaly detection has increased, when considering real world scenarios.

Conversion Generation: Our goal is to create a method for converting machine code to synthetic EM signals. Similar concepts can be seen in other areas. In [14], Li et al. introduce a transformer-based text-to-speech model that outperforms many other methods such as WaveNet [15] and Tacotron2 [16]. Another method that perform cross-domain transformation, is presented in [17], where descriptive text is converted into images using a generative adversarial network (GANs). Furthermore, [18] and [19] propose GAN-based models for image-to-image translation.

Generating Synthetic EMs: One mechanism for generating synthetic EM signals (EM simulation) is presented in [20]. The authors propose a cycle-by-cycle method to synthetically generate EM signals for embedded devices by analyzing the CPU architecture. While they achieve great accuracy when comparing real and synthetically generated signals, their process requires manual analysis, is time-consuming, is CPU specific, and is not easily transferable to other types of devices.

To the best of our knowledge, this work is the first to produce a framework for generating synthetic EMs that can be used in a variety of security applications e.g., anomaly detection systems.

3 Problem Statement and Threat Model

Typically, software supporting embedded devices designed to control critical processes is considered of *low complexity* when compared to the analogous software running on servers and desktop systems. Indeed, corresponding workflows involve cycles of sensing, processing, and then acting, all executed in a loop fashion. However, realistically, even the simplest examples of this family of software may be comprised of hundreds if not thousands of execution paths spawned by conditional branching instructions. When the objective is to model the characteristics of normalcy then all of these execution paths must be observed and fingerprinted. Particularly, EM-based fingerprinting is mainly a human-expert centric process revolving around tasks such as the correct positioning of probes, deciding the optimal recording parameters like the sampling rate, and synchronizing EM signals, among others. This, in turn, renders EM fingerprinting an extremely time-consuming, error-prone, and costly process.

This challenge is further amplified by two real-life restrictions. Firstly, execution branches may exist in a program that are meant to be rarely followed. Even techniques such as the forceful execution [21] of specified branches might not be an option as such paths may be associated with critical failures. For this reason, these branches are likely to be left out of the fingerprinting phase. In this case, the resulting models will yield wrong predictions for these normal-yet-rare-situations. Secondly, *embedded devices occasionally* receive firmware/software updates. These modifications in the executable generate the requirement for fingerprinting the behavior of the device from scratch. These challenges are illustrated in Figure 1.



Fig. 1: Scenarios where fingerprinting which is based on synthetic data could be valuable. Rare execution paths of programs are depicted in red and orange (left). New states/commands introduced after software updates are depicted in red (right).

As a solution, this work proposes a methodology for conducting the fingerprinting stage completely offline through the use of synthetically generated EM signals. More formally, our approach assumes that a mapping of instructions to signals \mathcal{M} exists such that:

$$\mathcal{M} = \begin{bmatrix} I_1 & S_1^{I_1} \\ I_2 & S_2^{I_2} \\ \vdots \\ I_n & S_n^{I_n} \end{bmatrix}$$
(1)

The task at hand is to discover a function f such that when given a sequence of instructions $I_j, I_{j+1}, I_{j+2}, ...$, it produces a version S' that is similar to the real signal S observed when I is executed in the CPU. In other words, f(I) = S' with the constraint that

$$D(S, S') \approx 0 \tag{2}$$

where D is the distance metric.

Theoretically, there are two main challenges of this approach. Firstly, the number of instructions contained in \mathcal{M} must be exhaustive. Secondly, a large number of signals corresponding to the same instruction I_i must be captured because the phenotype of signals corresponding to the same sequence of instructions is not static.

In this work, we examine a specific application that can be supported by the proposed approach, namely *anomaly detection*. More specifically, we consider the situation where the attacker has discovered a vulnerability in the code that allows them to perform *a code injection attack*. In practice, this is typically achieved by exploiting *buffer overflow* vulnerabilities. We assume that the attacker can inject an arbitrary number of instructions at any position of a target branch. Moreover, even a minimal number of instructions can have a meaningful malicious impact. We recognize, that in reality, while this situation is possible the attacker will usually have less flexibility.

Compared to the generalized version of the task, this problem has a more relaxed constraint i.e., assuming that the malicious version of the corresponding EM signal S_m and an unmodified (normal) version S_n , then the following condition $D(S_m, S_n) > D(S_n, S'_n)$ must be true.

Regardless, the same concept can be applied to applications beyond anomaly detection such as side-channel analysis for inferring cryptographic keys.

4 Proposed Framework

The purpose of the proposed framework is to conduct anomaly detection with high accuracy using synthetically generated versions of the EM signals that correspond to the normal execution branches only. A high-level overview of the proposed framework is given in Figure 2. In summary, the main steps involved in the process are as follows. During an offline step, a database of instructions-to-signal correlations is created (this is denoted as step 1) in Figure 2). Next, synthetic signals are created using the database of EM signals and the target binary (step 2) in Figure 2). Then, these sequences are used to train the baseline during the fingerprinting phase (step 3). After this phase, the target device is expected to be deployed on the field. At this point, the anomaly detection phase takes place (step 4). Afterwards, real EM signals emanated by the device are captured once again, this time to be evaluated for anomalies. This process also capitalizes on the baseline that was already created during the previous step. Under the hood, the process involves the execution of machine learning algorithms that judge whether the new signal bears significant morphological similarities with the synthetic ones that were used to construct the baseline. Hereunder, we shall analyze the basic steps of the process in further detail.

This framework assumes that a reliable mechanism for capturing EM signals from the elements of devices (e.g., CPU) is available. Today, this can be achieved by solely



Fig. 2: Workflow of the proposed framework.

relying on COTS components. Such an assembly of components typically consists of (a) a near-field antenna for gathering the raw signals, (b) an amplifier for increasing the strength of the captured signal, (c) an oscilloscope for digitizing the collected analog signals, and finally (d) hard disks for storing the captured signals in their discrete form. In this framework, the process of capturing signals is performed in two separate stages i.e., during the construction of the library of basic building blocks (step 1), a process that is completed offline, and during run-time for actively monitoring the health status of a target device (step 1). Typically, the signals are collected by placing the antenna in close proximity to the CPU. However, in more advanced settings signals can be collected from multiple onboard components (e.g., the network module), and create more sophisticated correlations regarding the behavior of the device. Particularly for the latter case, an extra step of pre-processing that may involve noise elimination procedures may be included as part of steps 3 and/or 4 In this work, we have omitted such processes for purposes of simplicity.

4.1 Building a Library of Signal Blocks

A library of *basic building blocks of signals* is assumed to have been created a priori in an offline step. This library should be available during the *fingerprinting* of any program, or more accurately any subsequence of any execution path inside a program. Theoretically, the term *basic building block* corresponds to the EM signature, in our case we relied on any frequently used sequence e.g., a function of each assembly level instruction, e.g., *and*, *nop*, etc.

Experimentally, we have identified that the main challenge with this approach is that one instruction in a sequence influences the shape and amplitude characteristics of the EM wave the subsequent instructions. Typically, the directly next instruction is influenced only. However, depending on the type of instruction (e.g., instructions involved in I/O operations) multiple subsequent instructions may also be affected but to a lesser extent. In this work, we have assumed that only one instruction gets affected for reasons of simplicity, but further investigation is required. Therefore, the structure of the database introduced previously can be more accurately redefined as:

$$\mathcal{M} = \begin{bmatrix} (I_0 \mid I_1) & S_1^{(I_0 \mid I_1)} \\ (I_1 \mid I_2) & S_2^{(I_1 \mid I_2)} \\ \dots & \dots \\ (I_{n-1} \mid I_n) & S_n^{(I_{n-1} \mid I_n)} \end{bmatrix}$$
(3)

where the $(I_{n-1} | I_n)$ operation indicates that instruction I_n has been observed after I_{n-1} . The reader should notice that an entry $S^{(I_{n-1}|I_n)}$ is comprised by the same number of samples as S^{I_n} would.

Let us examine the requirements for the construction of such a database through a simple example. The x86 architecture supports 981 [22] unique instructions while a simpler CPU architecture like AVR includes unique 123 instructions [23]. Let us focus on the AVR architecture since it is widely deployed in embedded systems. Let us assume that 1000 examples of each instruction are captured then the original size of the database is estimated to have 1000 * 123 = 123K entries. Under the lieu of the described restriction, the database needs to have a total of $1,000 * 123^2 \approx 15M$ entries which is approximately two orders of magnitude larger than the original estimation. It is obvious, that the process of creating a database of all possible instructions is time-consuming. Regardless, this needs to be conducted only once. One can argue that once constructed, a database for a specific architecture can be open-sourced and made publicly available. Moreover, in practice, certain instructions are never observed together, while there are certain combinations of instructions that are much more commonly executed together. Thus, the requirements of constructing such a database are not prohibitive.

4.2 Generating Synthetic EM Signals

The process of generating synthetic signals for anomaly detection is as follows: (a) based on the sequence of instructions included in the binary, identify the next instruction that will be executed, (b) fetch a random EM sample that is associated with this instruction from the library, and (c) append the EM at the end of a collective synthetic signal. The above steps are repeated until no more instructions are contained in the target sequence.

4.3 Fingerprinting Phase

In this work the discovery of malicious EM signals was approached as a *semi-supervised* anomaly detection problem as opposed to a supervised classification one. The reason for this decision is that nearly infinite alterations to a benign program can be performed by an attacker. This makes collecting instances of all possible known and unknown malicious versions of a program unrealistic. However, since the normal modes of operation of a device are finite, it is valid to assume that the corresponding EM signals can be collected, or in the context of this work, be synthetically generated. Therefore, we relied on and extended an existing semi-supervised anomaly detection method [24]. This method is based on the principles of *transduction* and *hypothesis testing*. Transduction is a technique of placing an example in a set of known normal observations and understanding whether that sample is a good fit in the set. From the perspective of our experiment, the terms *example* and *observations* refer to EM signals that corresponds to a repetitive operation e.g., a loop.

The method calculates a distribution of normalcy, namely, a baseline, between all the known benign cases corresponding to the same operational mode (i.e., an entire or parts of the same execution path). Realistically, a program can have several execution paths, with each execution path corresponding to a different aspect of normal operation. This in turn, creates a unique EM signal.

Algorithm 1 Fingerprinting Phase

1: function CALC_STRANGENESS: (benign dataset X, set of query signals Q, number of neighbors κ): 2: $Strangeness_Scores = []$ 3: for $\forall q \in Q$ do 4: D = []for $\forall x \in X$ do 5: 6: $D \leftarrow distance(x,q)$ 7: end for $Nearest_Neighbors = get_min(D, \kappa)$ 8: $Strangeness_Scores \leftarrow Sum(Nearest_Neighbors)$ 9: 10:end for ${\bf return} \ Strangeness_Scores$ 11: end function 12: function FINGERPRINT: (benign dataset X_s , number of neighbors κ , number of benign execution paths s): 13:Baselines = []14:for $\forall X \in X_s$ do 15: $Baselines \leftarrow calc_strangeness(X, X, \kappa)$ 16:end for return Baselines 17: end function

In further detail, during this phase, a set of benign signals, X, is provided for each execution path. X must contain a significantly large number of EM signals because as explained in previous sections, observations of the same path can deviate due to random phenomena occurring during the capture. In order to calculate the distribution, the *strangeness* (similarity) score of each sample point x with the rest in X must be calculated. Any algorithm that calculates the similarity (e.g., euclidean distance) can be used to estimate the *strangeness*. These include rudimentary approaches such as the mean of distances, or more sophisticated metrics like the Local Outlier Factor [25] (which internally relies on euclidean distance). The processes involved in the fingerprinting phase are given in Algorithm 1. We relied on the sum of the κ -nearest (most similar) neighbors (signals) and the euclidean distance metric. The outcome of this process is one (or multiple) lists that contains the similarity scores, referred to as *Strangeness_Scores*, (lines 5-9) in the algorithm. The *Strangeness_Scores* reflect the distribution of normalcy or simply put a *baseline*, (lines 13-16). This process is repeated for all possible execution paths.

4.4 Anomaly Detection Phase

The deployment phase assumes that the baselines, B_s , have already been produced successfully during the fingerprinting phase. Furthermore, the original sets of benign signals used to create the baselines, X_s , and the number of benign execution paths, s, are provided. Additionally, a signal for evaluation, q, is available. Finally, user-provided parameters that correspond to the number of neighbors (κ) and the threshold used to separate the normal from abnormal (τ) signals are given. The overall process is provided in Algorithm 2.

During this process, a benign set of each execution path, X, is obtained from X_s . Then the strangeness of the new observation, $score_q$, is evaluated by comparing q to Xusing the same algorithm implemented in the fingerprinting phase, (line 5). Afterward, $score_q$ is compared against the respective baseline, B_i , that was created from X in the fingerprinting phase. The comparison process is executed using transduction, creating a p_value for q, (lines 8-13). If the p_value is above the threshold τ , then q is considered within the norm of the execution path and a *vote* is saved as normal. Otherwise, the *vote* is saved as abnormal, (lines 14-19). This process is repeated for all execution paths in X_s

Algorithm 2 Anomaly detection Phase

1: function DETECT: (sets of benign signals X_s , strangeness baselines B_s , signal for evaluation q , number					
of neighbors κ , threshold τ , number of benign execution paths s):					
: $Votes = []$					
: for $\forall X \in X_s$ do					
: $size = length(B_i)$					
: $score_q = calc_strangeness(X, q, \kappa)$					
Sorted_Baseline _i = $sort(B_i, ascending)$					
index = 0					
3: for $\forall score_x \in Sorted_Baseline_i$ do					
if $score_q < score_x$ then					
index = index + 1					
1: end if					
2: end for					
3: Vote = anomalous					
4: $p_value \leftarrow \frac{1+size-index}{1+size}$					
5: if $p_value > \tau$ then					
6: Vote = normal					
7: end if					
8: $Votes \leftarrow Vote$					
9: end for					
0: status = anomalous					
1: for $\forall vote \in Votes_q$ do					
2: if $vote = normal$ then					
3: $status = normal$					
4: end if					
5: end for					
return status					
6: end function					

to check if q falls within the norm of any of the benign execution paths. Under normal conditions, benign signals are expected to be considered normal for one execution path. As such, only one *vote* for the unknown signal q being normal is required to flag it as benign. If no *vote* is given as normal, then q is flagged as anomalous, (lines 21-26).

The voting mechanism was an extension to the original algorithm implemented to account for the certainty of a program being comprised of numerous paths. A comprehensive fingerprinting of a target program must consider, as *normal*, all possible paths inside that program.

5 Experimental Evaluation

The proposed framework was evaluated by determining the accuracy of the anomaly detection approach for multiple benign cases. Furthermore, the usability of our generated synthetic signals is determined by comparing the detection accuracy when using only *Real* versus *Synthetic* signals for fingerprinting.

5.1 Testbed

The *target platform* used, was an Arduino Mega device. This device is equipped with an 8-bit ATmega2560 AVR microcontroller unit (MCU). This family of MCUs is a popular choice for both research as well as real-time control applications [26]. To acquire EM signals, we made use of an EMRSS RF Explorer H-Loop *EM probe*, which was placed exactly on top of the CPU. Since emanations from the CPU have a very low amplitude, each signal that we acquired was first amplified using a Beehive 150A EMC probe *amplifier*

and then saved in a digital format using a PicoScope 3403D oscilloscope and a laptop. The chosen sampling rate was 500 MSamples/sec, the sampling interval is 2nsec, and the average duration of the programs considered (loop portion only) was less than $1\mu sec$. Notice that we obtained each sample in a virtually noise-free environment. In accordance to Vedros et al. [9], these sampling rates are able to detect the corruption of a program through the injection of even a single instruction in relatively noisy environments without the need for noise reduction pre-processing. The experimental setup can be seen in Figure 3.



Fig. 3: Experimental setup used for all the signals acquisition described in this paper.

5.2 Test Cases

For evaluating purposes, we considered the following scenario: a benign program with just one execution path is already installed in the target platform. The original software is comprised of just 17 instructions being executed inside a loop. At some point there was a need to modify the original program. In the update several instructions were substituted, a new one was added and one was removed from the original sequence. The task is to synthetically generate EM signals of the modified version of the program directly from the assembly (ASM) code so that we do not have to engage in the data gathering process from scratch. The original (*Program A*) and the updated version of the program (*Program B*) are given in Figure 4.

Next, we assumed that a malicious entity performs a modification (i.e., code injection) to our program. To illustrate the occurrence of such an attack, we developed two contaminated versions of the updated program (*Program B*), each with differing amounts of injected code. The first contaminated version assumes that four malicious instructions were injected, while in the second case we have the injection of only two instructions. Consequently, the second version will be harder to detect due to the shorter length of the foreign code. The point of injection for both contaminated versions is in the middle of the sequence of the ASM instructions. The two malicious programs (*easy and hard*) are given in Figure 5.



Fig. 4: The original version of the program (left) and the version of the program after the update (right). Different instructions are highlighted in red.



Fig. 5: Version of the *Program B* after the injection of four malicious instructions (left) and the same program after the injecting two malicious instructions (right). The latter is considered a harder case.

5.3 Dataset Structure

We captured 1000 instances of each one of the programs (four in total) using the setup mentioned in Subsection 5. Due to the difference in the number of instructions in each program, the total number of samples varied among the captured sets. Details about the records in the datasets are included in Table 1.

		No. observations	Samples per observation
	Program A	1000	1261
	Program B	1000	1261
	Synthetic B	1000	1261
	Malicious B Easy	1000	1511
	Malicious B Hard	1000	1386

Table 1: Number of samples and number of time indexes in each set.

According to the task, we synthetically generated instances of Program B i.e., the benign modified version of the program. The reader should note that we also captured real EM samples for Program B, to provide the ground truth for our comparative evaluation. Examples of the captured signals, and their corresponding instructions, are illustrated in Figure 6. These sets of data were split into certain combinations for evaluation purposes and were subjected to pre-processing.



Fig. 6: EM samples of the two normal (top row) and malicious (bottom row) programs. In the bottom row the highlighted areas are the instructions injected into the base code of *Program B*.

5.4 Experimental Results

As a first experiment, we relied upon *only real examples of signals*. More specifically, the real signals that correspond to the normal programs (before and after the update) were used to train the baseline. Thus, at this phase, no malicious observations were used. During the testing phase, examples of both normal and malicious cases were utilized. In fact, we performed two rounds of evaluation, for the first round the examples of malicious signals

were drawn from the pool of signals that correspond to the *easier case*. For the second round, the malicious signals were chosen from the pool of *harder-to-detect* anomalies. The goal of this experiment was to estimate the accuracy of the anomaly detection method in the ideal situation where real signals are available.

A second experiment was performed in a similar fashion to the first, except *real examples for the original program* and *synthetic data for the modified version* were used to train the baseline. The goal when utilizing synthetic signals is to approximate the predictive performance.

The two experiments were evaluated using the 10-fold cross-validation method. For experiment one, for each fold, the training set was comprised of 450 examples of *Program* A, and 450 of *Program* B. Furthermore, to evaluate with a balanced testing set, the testing dataset considered for each fold only 50 observations of each benign (original and modified) case along with 100 anomalous examples. For the second round, the number of signals of each different type of program used for the training/testing set was the same except that the training set contained synthetic EMs for *Program* B.

Preprocessing: Before the training and testing phases, feature engineering was performed. First, every signal was reduced to the size of the benign execution paths. The reader should keep in mind that the size of the benign sequence is known in advance. As such, we assume that every signal that is being evaluated should only be the size of the benign case if it is truly benign. The reader should recall that each instruction is amplitude modulated. Therefore, the main indicator for identifying various instructions is the difference in the amplitude of the signal at certain time frames (i.e., cycles). In fact, one challenge that we observed in raw signals is that occasionally there are minor clock drifts. By maintaining only the peaks, we effectively deal with this issue without relying on computationally heavy techniques such as dynamic time warping (DTW).

Considered Parameters: After performing a grid search we identified the optimal *near*est neighbors parameter to be 10. Moreover, the anomaly detection process made use of thresholds τ ranging from zero to one, with a step of 0.001.

Evaluation Metrics: Given the confusion matrix results, we obtained the area under the curve (AUC) of the receiver operating characteristic (ROC), and among the thresholds tested the one that gives the best accuracy (ACC) and F1 score for each fold was considered. ROC is common metric used for evaluating the efficiency of anomaly detection systems. It graphs the true-positive rate (TPR) vs. the false positive rate (FPR) for under various thresholds. The formulas for calculating the TPR and the FPR respectively are:

$$TPR = \frac{TP}{TP + FN} \tag{4}$$

$$FPR = \frac{FP}{FP + FN} \tag{5}$$

Where TP is the amount of true positives, FP is the amount of false positives, and FN is the number of false negatives. The resulting graph usually creates a curve, and the AUC is a common metric for comparing ROCs. The ACC and the F1 scores are is computed as follows:

$$ACC = \frac{TP + TN}{TP + TN + FP + FN} \tag{6}$$

where TN is the number of true negatives.

$$F1 = 2 * \frac{PPV * TPR}{PPV + TPR}$$

$$\tag{7}$$



Fig. 7: ROC graphs for the anomaly detection experiment. In the upper row the results obtained when using the *synthetic* signals for training. In the lower row the results obtained when using only *real* signals for training (ideal case). The drop in AUC score observed is only 1.3% for the injection of 4 instructions (easy case) and 4.2% for the injection of 2 instructions (hard case).

where, in turn the precision (PPV) is defined as:

$$PPV = \frac{TP}{TP + TN} \tag{8}$$

The final reported metrics are the average among all folds. The max, minimum, and average ROC curves observed across all folds are given in Figure 7.

Results: The results achieved for each of the experiments can be seen in Table 2. Using *synthetic* data gives above 90% AUC score for all considered metrics. More specifically, the AUC score achieved when using the easy malicious case is 98%, and 95.1% when using the hard version. The AUC score achieved for the same tests when *real* signals were used is 99.3% for both the easy and hard cases. In other words, the use of synthetic signals had a negative impact in the predictive AUC but it was relatively low i.e., 1.3% and 4.2% respectively. The reader should recall that despite the malicious programs being labeled *easy* and *hard* both cases correspond to exceptionally minimal injections and in reality, the attacker probably would try to inject much larger lengths of instructions.

In terms of ACC and F1 score, the use of synthetic signals achieved 90.1% and 90.6% respectively when using the hard malicious case. Furthermore, these metrics reach to 95.4% for the ACC and 95.5% for the F1 score when evaluating against the easy version. When the same tests are performed using the real signals, the ACC and F1 is near perfect, that is 99.9% and 99.5% for the hard case and 99.9% and 99.8% for the easy version. Overall the difference in the use of synthetic signals was 4.5% to 9.8% for the ACC and 4.3% to 8.9% for the F1.

Training	Test-Normal	Test-Anomaly	Scores (Avg.)						
			AUC	ACC	F1				
Real (Program A)	Real	Malicious B (Easy)	0.980	0.954	0.955				
and Synthetic (Synthetic B)	(Program A and Program B)	Malicious B (Hard)	0.951	0.901	0.906				
Only Real	Real	Malicious B (Easy)	0.993	0.999	0.998				
(Program A and Program B)	(Program A and Program B)	Malicious B (Hard)	0.993	0.999	0.995				

Table 2: Anomaly detection results.

Conclusion: While using real captured EM samples may provide near-perfect detection of even minimal code injections, synthetic fingerprinting can still effectively train models to distinguish between benign and anomalous cases with high accuracy. For example, the penalty in terms of AUC score is -1.3% for detection of only four malicious instructions.

6 Discussion

One of the most important challenges with respect to the synthetic reconstruction of signals from code is that the morphological characteristics of isolated ASM instructions are not static but rather depend on prior instructions. Moreover, instructions possibly get influenced by other random events that occur at the hardware level or due to parallel processes that are executed at the same time (software), as well as environmental noise. Studying the first two factors lies outside the scope of this paper while the latter has been studied in [9] [10]. However, regarding the impact of previous instructions to subsequent ones, we have made the following observations:

- Although the same instructions may have roughly the same amplitude and general phenotype when observed within the same sequence, they may appear different when preceded by different previous instructions or tracked within a different sequence.
- The directly previous instruction I_{i-1} impacts the examined instruction I_i significantly but in some cases even previous instructions ..., I_{i-2} may impact I_i to a lesser extent.
- Certain instructions impact subsequent instructions less than others.
- Instructions that perform similar operations may similarly impact subsequent instructions.

Example 1: The sequence ..., ses, cls, ser, clv, ... is observed in both our benign programs. However, for *Program A* the instruction ses is preceded by the *lsr* instruction while in *Program B* the ses instruction is preceded by the *sub* instruction. Nevertheless, both the *lsr* and *sub* instructions perform similar (i.e., mathematical) operations. The former performs division and then shift, while the latter performs subtraction. Therefore, the amplitude of the first instruction in that sequence, (i.e., the ses instruction) is only marginally impacted.

Example 2: The sequence ..., rjmp, sbi, ... is observed in both the considered benign programs. In this case, for *Program A* the rjmp is preceded by the clv instruction, while in *Program B* the same instruction is preceded by the clr instruction. The former simply clears the value of a flag while the latter resets the values of all registers. The reader can understand that the two instructions perform drastically different operations thus, it does not come as a surprise that the amplitude of the signal that corresponds to the rjmp instruction looks significantly different in the two programs. A comparison between the signals corresponding to the two programs at the sections of interest is given in Figure 8.



Fig. 8: Comparison of peaks between EM signals of Program A and Program B around the common instruction sequences for example 1 (top) and example 2 (bottom).

6.1 Evaluation of Similarity of Synthetic Signals

The following experiment evaluates the similarity of the 1000 EM signals that were synthetically generated against each of the type of the sets that contain real signals (i.e., *Program A*, *Program B*, *Malicious Easy*, and *Malicious Hard*). For each set, the comparison process yields 1000 similarity scores. The scores of the 25 nearest neighbors were averaged. The pre-processing method adopted; was the same as in all previous experiments. The distance used to compare the two signals was the normalized euclidean distance (NED), which is calculated as:

$$NED(A,B) = \sqrt{0.5 \frac{Var(A-B)}{Var(A) + Var(B)}}$$
(9)

where A and B are two EM instances, and Var is the variance between the two signals. The distances produced as a result of this experiment are given in Figure 9. By observing the boxplot in Figure 9, the reader should notice that the average distance (i.e., orange line) between *Program* B is lower compared to any other program. This indicates that our method generates signals that are closer to the real instances of *Program* B although clearly not identical to them. Furthermore, *Malicious Easy* and *Hard* cases have on average a much higher distance (difference) to *Synthetic* B, despite being polluted with only a few instructions. This is primarily because the injection of instructions causes a displacement to the right of all instructions after the point of injection. For this reason, all peaks after that point are expected to be different. On the other hand, the difference between *Program* A and *Program* B lies primarily in the (benign) substitution of some instructions. In this way, only the substituted instructions are expected to be different.



Fig. 9: Distance between the synthetically generated version of Program B and (a) the real Program A, (b) the real Program B, (c) the maliciously modified version of Program B (four instructions), and (d) the maliciously modified version of Program B (two instructions). Lower is better (more similar).

6.2 Considerations Regarding the Library of Reusable Basic Blocks

Let us suppose that the previous observations were not true. Then, it would be possible to construct a set of programs P comprised of the instruction to be fingerprinted I_i surrounded by sequences of nop instructions as:

$$P_i = \{\dots, nop_{n-2}, nop_{n-1}, nop_n, I_i, nop_{n+1}, nop_{n+2}, \dots\}$$
(10)

Notice nop instructions are considered neutral as they do not perform any function but simply consume a cycle thus, they are an ideal choice for this fingerprinting task. For the considered CPU architecture this would amount to creating 123 unique programs i.e., the same as the number of unique instructions. At a subsequent step, the instruction I_i would be stripped from surrounding the *nop* and entered in a database. In the future, for any given sequence of instructions, it would be possible to consult this database and retrieve the corresponding EM sequences. In this scenario, the entire workflow is deemed trivial, and the task of EM synthesis is reduced merely to a simple mapping.

However, as explained in the previous subsection, in reality, the task is not trivial because each instruction I_i in a sequence is influenced mainly by the previous instruction I_{i-1} . Thus, the database of reusable components must be constructed by considering at least two instructions. The situation becomes more challenging because in turn instruction I_{i-1} is expected to have been altered by I_{i-2} . Thus, when creating the database the previous instruction must be specified.

To put things into perspective, for our considered CPU architecture the number of possible instruction combinations is 123x123 which is more than two orders of magnitude larger than the naïve case. Alternative CPU architectures may support a significantly higher number of instructions. It is obvious that this approach does not scale. However, particularly for the embedded realm, creating a database of this type should not be considered prohibitive because (a) the majority of CPU architectures adopt a reduced set, (b) it is possible to identify similar instructions and cluster them, (c) in practice, not all combinations of certain instructions make sense.

7 Conclusion

In this paper, we introduced a comprehensive framework for generating synthetic EM signals from machine code and used it for EM-based anomaly detection in embedded devices. This framework includes, amongst others, the creation of a database of signal blocks that correspond to ASM instructions, and can be reused for generating synthetic sequences and a methodology for properly synthesizing such sequences of instructions to recreate entire code execution paths. Compared to the state-of-the-art in the area, our approach remains non-intrusive and is highly scalable. We experimentally proved that our method can generate synthetic signals that are highly similar to the real EM signals that get emanated by the CPU of embedded devices during run-time. Our method inflicts only a small penalty in accuracy when employed for anomaly detection purposes. While the experiments included were performed based on a limited number of instructions, a limited number of test cases, and just one CPU architecture, the achieved results hold great promise for the utilization of synthetically generated signals as part of typical anomaly detection workflows in the area.

Our near-term research plans are geared towards quantifying the impact of various instructions on subsequent ones. This study will help to create more accurate synthetic signals. In the future, to further automate the process and achieve even more accurate results we are considering incorporating generative adversarial networks (GANs) specifically for the task of translating text (ASM code) to signal (EM).

Acknowledgements

Effort performed through Department of Energy under U.S. DOE Idaho Operations Office Contract DE-AC07-05ID14517, as part of Laboratory Directed Research and Development, Resilient Control and Instrumentation Systems (ReCIS) program of Idaho National Laboratory.

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Authors



Kurt A. Vedros received Bachelors of Science and Engineering degree in Computer Science (CS) from Idaho State University in 2019. Currently, he is pursuing his PhD in CS from the University of Idaho. His research areas of interests include EM-based side-channel analysis, Cyber Security and Machine Learning (ML).



Georgios Michail Makrakis received B.Sc. degree in Information and Communication Systems Engineering from the University of the Aegean, Greece in 2018, specialising in cybersecurity and software engineering. He holds commercial experience as Software Engineer. Currently he is pursuing a Ph.D. degree in Computer Science (CS) at University of Idaho. His research areas of interests involve Intrusion Detection Systems, and the security of Industrial Control Systems and embedded devices.



Constantinos Kolias is an Assistant Professor with the Department of Computer Science, University of Idaho. Before that, he was a Research Assistant Professor with the Department of Computer Science (CS), George Mason University. He is active in the design of intelligent IDS with a special interest in privacy preserving distributed IDS. His main research interests include security and privacy for the IoT and critical infrastructures. Other areas of interest include mobile and wireless communications security, and privacy enhancing techniques for the Internet.



Robert C. Ivans Robert C. Ivans received the B.S. degree in electrical engineering from Boise State University in 2016 and the Ph.D. degree in electrical and computer engineering from Boise State University, Boise, ID, USA, in 2021. In 2019, he joined the Idaho National Laboratory (INL), Idaho Falls, ID, USA, as an intern, where he helped to develop curriculum and training materials for cyber-informed engineering. In 2020, he was awarded an INL Graduate Fellowship. In 2021, he joined INL as a Data Scientist where his research focuses on machine learning, cyber-physical systems, industrial automated control systems, and cybersecurity. Dr. Ivans is a member of Tau Beta Pi.



Craig Rieger Ph.D., P.E., is the Chief Control Systems Research Engineer and a Directorate Fellow at the Idaho National Laboratory (INL), pioneering interdisciplinary research in next generation resilient control systems. The grand challenge provided an integrated research strategy to address the cognitive, cyber-physical challenges of complex control systems into self-aware, trust-confirming, and threat-resilient architectures. In addition, he has organized and chaired thirteen co-sponsored symposia and one National Science Foundation workshop in this new research area and authored more than 45 peer-reviewed publications. Craig received B.S. and M.S. degrees in Chemical Engineering from Montana State University in 1983 and 1985, respectively, and a PhD in Engineering and Applied Science from Idaho State University in 2008. Craig's PhD coursework and dissertation focused on measurements and control, with specific application to intelligent, supervisory ventilation controls for critical infrastructure. Craig is a senior member of IEEE and has 20 years of software and hardware design experience for process control system upgrades and new installations. Craig has also been a supervisor and technical lead for control systems engineering groups having design, configuration management, and security responsibilities for several INL nuclear facilities and various control system architectures.

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